

10/553034

Docket No. 278873US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Junichiro UDA, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/JP04/05304

GAU:

FILED: Herewith

EXAMINER:

FOR: BENZOXEPINO-11-PIPERIDYLIDENE COMPOUNDS AND PROCESS FOR PRODUCTION THEREOF

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 278873US0PCT | | SERIAL NO. New U.S. PCT Application Based on PCT/JP04/05304 | |
|--|----|--|----------|--|--------------------------|---|----------------------------|
| LIST OF REFERENCES CITED BY APPLICANT | | | | APPLICANT Junichiro UDA, et al. | | 10/553034 | |
| | | | | FILING DATE Herewith | | GROUP | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | | |
| /RD/ | AD | 2002-338574 | 11/27/02 | JP(English abstract only) | <input type="checkbox"/> | <input checked="" type="checkbox"/> | NO |
| | AP | 06-116273 | 04/26/94 | JP(English abstract only) | <input type="checkbox"/> | <input checked="" type="checkbox"/> | NO |
| | AD | 06-192263 | 07/12/94 | JP(English abstract only) | <input type="checkbox"/> | <input checked="" type="checkbox"/> | NO |
| /RD/ | AR | 09-124653 | 05/13/97 | JP(English abstract only) | <input type="checkbox"/> | <input checked="" type="checkbox"/> | NO |
| | AS | | | | <input type="checkbox"/> | <input checked="" type="checkbox"/> | |
| | AT | | | | <input type="checkbox"/> | <input checked="" type="checkbox"/> | |
| | AU | | | | <input type="checkbox"/> | <input checked="" type="checkbox"/> | |
| | AV | | | | <input type="checkbox"/> | <input checked="" type="checkbox"/> | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | AW | | | | | | |
| | AX | | | | | | |
| | AY | | | | | | |
| | AZ | | | | | | |
| Examiner <u>Rita Desai</u> | | | | <input type="checkbox"/> Additional References sheet(s) attached Date Considered 05/21/2008 | | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |